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LOCAL GOVT SERVICES

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Pollution Control Financing Authority of
Warren County
Authority Budget

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Department Of



**Community
Affairs**

Division of Local Government Services

2016 AUTHORITY BUDGET

Certification Section

2016

**POLLUTION CONTROL FINANCING
AUTHORITY OF WARREN COUNTY**

AUTHORITY BUDGET

FISCAL YEAR: FROM January 1, 2016 TO December 31, 2016

For Division Use Only

CERTIFICATION OF APPROVED BUDGET

It is hereby certified that the approved Budget made a part hereof complies with the requirements of law and the rules and regulations of the Local Finance Board, and approval is given pursuant to N.J.S.A. 40A:5A-11.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: *C.M. Zupinski* Date: *11/24/15*

CERTIFICATION OF ADOPTED BUDGET

It is hereby certified that the adopted Budget made a part hereof has been compared with the approved Budget previously certified by the Division, and any amendments made thereto. This adopted Budget is certified with respect to such amendments and comparisons only.

*State of New Jersey
Department of Community Affairs
Director of the Division of Local Government Services*

By: _____ Date: _____

2016 PREPARER'S CERTIFICATION

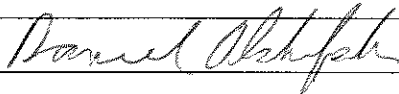
POLLUTION CONTROL FINANCING AUTHORITY OF WARREN COUNTY

AUTHORITY BUDGET

FISCAL YEAR: FROM: January 1, 2016 TO: December 31, 2016

It is hereby certified that the Authority Budget, including both the Annual Budget and the Capital Budget/Program annexed hereto, represents the members of the governing body's resolve with respect to statute in that: all estimates of revenue are reasonable, accurate and correctly stated; all items of appropriation are properly set forth; and in itemization, form and content, the budget will permit the exercise of the comptroller function within the Authority.

It is further certified that all proposed budgeted amounts and totals are correct. Also, I hereby provide reasonable assurance that all assertions contained herein are accurate and all required schedules are completed and attached.

Preparer's Signature:			
Name:	Daniel Olshefski		
Title:	Chief Financial Officer		
Address:	Post Office Box 587 Oxford, NJ 07863		
Phone Number:	908-475-6540	Fax Number:	908-475-6554
E-mail address	dolshefski@co.warren.nj.us		

2016 APPROVAL CERTIFICATION

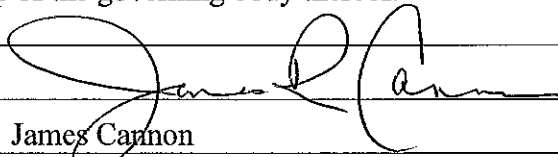
**POLLUTION CONTROL FINANCING
AUTHORITY OF WARREN COUNTY**

AUTHORITY BUDGET

FISCAL YEAR: FROM: January 1, 2016 TO: December 31, 2016

It is hereby certified that the Authority Budget, including all schedules appended hereto, are a true copy of the Annual Budget and Capital Budget/Program approved by resolution by the governing body of the Pollution Control Financing Authority of Warren County, at an open public meeting held pursuant to N.J.A.C. 5:31-2.3, on the 26 day of October, 2015.

It is further certified that the recorded vote appearing in the resolution represents not less than a majority of the full membership of the governing body thereof.

Officer's Signature:			
Name:	James Cannon		
Title:	Board Secretary		
Address:	Post Office Box 587 Oxford, NJ 07863		
Phone Number:	908-453-2174	Fax Number:	908-453-4241
E-mail address			

INTERNET WEBSITE CERTIFICATION

Authority's Web Address:	www.pcfawc.com
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All authorities shall maintain either an Internet website or a webpage on the municipality's or county's Internet website. The purpose of the website or webpage shall be to provide increased public access to the authority's operations and activities. N.J.S.A. 40A:5A-17.1 requires the following items to be included on the Authority's website at a minimum for public disclosure. Check the boxes below to certify the Authority's compliance with N.J.S.A. 40A:5A-17.1.

- A description of the Authority's mission and responsibilities
- Commencing with 2013, the budgets for the current fiscal year and immediately preceding two prior years
- The most recent Comprehensive Annual Financial Report (Unaudited) or similar financial information
- Commencing with 2012, the annual audits of the most recent fiscal year and immediately two prior years
- The Authority's rules, regulations and official policy statements deemed relevant by the governing body of the authority to the interests of the residents within the authority's service area or jurisdiction
- Notice posted pursuant to the "Open Public Meetings Act" for each meeting of the Authority, setting forth the time, date, location and agenda of each meeting
- Beginning January 1, 2013, the approved minutes of each meeting of the Authority including all resolutions of the board and their committees; for at least three consecutive fiscal years
- The name, mailing address, electronic mail address and phone number of every person who exercises day-to-day supervision or management over some or all of the operations of the Authority
- A list of attorneys, advisors, consultants and any other person, firm, business, partnership, corporation or other organization which received any remuneration of \$17,500 or more during the preceding fiscal year for any service whatsoever rendered to the Authority.

It is hereby certified by the below authorized representative of the Authority that the Authority's website or webpage as identified above complies with the minimum statutory requirements of N.J.S.A. 40A:5A-17.1 as listed above. A check in each of the above boxes signifies compliance.


Name of Officer Certifying compliance

Robert Davenport

Title of Officer Certifying compliance

Chairman

Signature



**RESOLUTION
R-XX-XX-XX
2016 Authority Budget**

**2016 AUTHORITY BUDGET RESOLUTION
Pollution Control Financing Authority
of Warren County**

FISCAL YEAR: FROM: January 1, 2016 TO: December 31, 2016

WHEREAS, the Annual Budget and Capital Budget for the **Pollution Control Financing Authority of Warren County** for the fiscal year beginning, **January 1, 2016 and ending, December 31, 2016** has been presented before the governing body of the **Pollution Control Financing Authority of Warren County** at its open public meeting of **October 26, 2015**; and

WHEREAS, the Annual Budget as introduced reflects Total Revenues of \$ **7,041,875** , Total Appropriations, including any Accumulated Deficit if any, of \$ **7,196,875** and Total Unrestricted Net Position utilized of **\$155,000** ; and

WHEREAS, the Capital Budget as introduced reflects Total Capital Appropriations of \$370,000 and Total Unrestricted Net Position planned to be utilized as funding thereof, of \$370,000; and

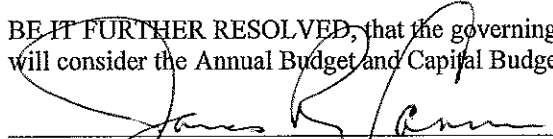
WHEREAS, the schedule of rates, fees and other charges in effect will produce sufficient revenues, together with all other anticipated revenues to satisfy all obligations to the holders of bonds of the Authority, to meet operating expenses, capital outlays, debt service requirements, and to provide for such reserves, all as may be required by law, regulation or terms of contracts and agreements; and

WHEREAS, the Capital Budget/Program, pursuant to N.J.A.C. 5:31-2, does not confer any authorization to raise or expend funds; rather it is a document to be used as part of the said Authority's planning and management objectives. Specific authorization to expend funds for the purposes described in this section of the budget, must be granted elsewhere; by bond resolution, by a project financing agreement, by resolution appropriating funds from the Renewal and Replacement Reserve or other means provided by law.

NOW, THEREFORE BE IT RESOLVED, by the governing body of the **Pollution Control Financing Authority of Warren County**, at an open public meeting held on **October 26, 2015** that the Annual Budget, including all related schedules, and the Capital Budget/Program of the **Pollution Control Financing Authority of Warren County** for the fiscal year beginning, **January 1, 2016 and ending, December 31, 2016** is hereby approved; and

BE IT FURTHER RESOLVED, that the anticipated revenues as reflected in the Annual Budget are of sufficient amount to meet all proposed expenditures/expenses and all covenants, terms and provisions as stipulated in the said Authority's outstanding debt obligations, capital lease arrangements, service contracts, and other pledged agreements; and

BE IT FURTHER RESOLVED, that the governing body of the **Pollution Control Financing Authority of Warren County** will consider the Annual Budget and Capital Budget/Program for adoption on **December 14, 2015**.


James Cannon, Board Secretary

October 26, 2015

Governing Body Member:	Recorded Vote			
Mr. Allen	(Aye)	Nay	Abstain	Absent
Mr. Cannon	(Aye)	Nay	Abstain	Absent
Mr. Mach	(Aye)	Nay	Abstain	Absent
Mr. Pryor	(Aye)	Nay	Abstain	Absent
Mr. Davenport	(Aye)	Nay	Abstain	Absent

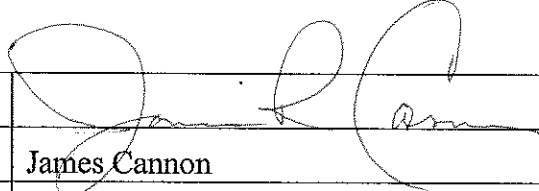
2016 ADOPTION CERTIFICATION

POLLUTION CONTROL FINANCING AUTHORITY OF WARREN COUNTY

AUTHORITY BUDGET

FISCAL YEAR: **FROM:** January 1, 2016 **TO:** December 31, 2016

It is hereby certified that the Authority Budget and Capital Budget/Program annexed hereto is a true copy of the Budget adopted by the governing body of the Pollution Control Financing Authority of Warren County, pursuant to N.J.A.C. 5:31-2.3, on the 14 day of, December, 2015.

Officer's Signature:			
Name:	James Cannon		
Title:	Board Secretary		
Address:	Post Office Box 587 Oxford, NJ 07863		
Phone Number:	908-453-2174	Fax Number:	908-453-4241
E-mail address			

RESOLUTION

R-12-06-15

2016 ADOPTED BUDGET

POLLUTION CONTROL FINANCING AUTHORITY OF WARREN COUNTY

FISCAL YEAR: **FROM:** January 1, 2016 **TO:** December 31, 2016

WHEREAS, the Annual Budget and Capital Budget/Program for the **Pollution Control Financing Authority of Warren County** for the fiscal year beginning **January 1, 2016** and ending, **December 31, 2016** has been presented for adoption before the governing body of the **Pollution Control Financing Authority of Warren County** at its open public meeting of **December 14, 2015**; and

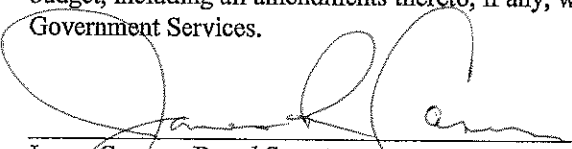
WHEREAS, the Annual Budget and Capital Budget as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services; and

WHEREAS, the Annual Budget as presented for adoption reflects Total Revenues of \$ 7,041,875, Total Appropriations, including any Accumulated Deficit, if any, of \$7,196,875 and Total Unrestricted Net Position utilized of \$155,000; and

WHEREAS, the Capital Budget as presented for adoption reflects Total Capital Appropriations of \$370,000 and Total Unrestricted Net Position planned to be utilized of \$370,000; and

NOW, THEREFORE BE IT RESOLVED, by the governing body of **Pollution Control Financing Authority of Warren County**, at an open public meeting held on December 14, 2015 that the Annual Budget and Capital Budget/Program of the **Pollution Control Financing Authority of Warren County** for the fiscal year beginning, **January 1, 2016** and, ending, **December 31, 2016** is hereby adopted and shall constitute appropriations for the purposes stated; and

BE IT FURTHER RESOLVED, that the Annual Budget and Capital Budget/Program as presented for adoption reflects each item of revenue and appropriation in the same amount and title as set forth in the introduced and approved budget, including all amendments thereto, if any, which have been approved by the Director of the Division of Local Government Services.


James Cannon, Board Secretary

December 14, 2015

Governing Body Member:	Recorded Vote			
Mr. Allen	<u>Aye</u>	Nay	Abstain	Absent
Mr. Cannon	<u>Aye</u>	Nay	Abstain	Absent
Mr. Pryor	<u>Aye</u>	Nay	Abstain	Absent
Mr. Mach	<u>Aye</u>	Nay	Abstain	Absent
Mr. Davenport	<u>Aye</u>	Nay	Abstain	Absent

2016 AUTHORITY BUDGET

Narrative and Information Section

2016 AUTHORITY BUDGET MESSAGE & ANALYSIS

Pollution Control Financing Authority Of Warren County

AUTHORITY BUDGET

FISCAL YEAR: FROM: January 1, 2016 **TO:** December 31, 2016

Answer all questions below. Attach additional pages and schedules as needed.

1. Complete a brief statement on the 2016 proposed Annual Budget and make comparison to the 2015 adopted budget for each operation. Explain any variances over +/-10% for each line item by operation. Explanations of variances should include a description of the reason for the increase/decrease in the budgeted line item, not just an indication of the amount and percent of the change. Attach any supporting documentation that will help to explain the reason for the increase/decrease in the budgeted line item. For example, if anticipated service charges have increased 15% due to an increase in rates, provide a copy of the resolution authorizing the rate increase.

The 2016 budget reflects a continuation of the landfill operation. There is a planned decrease in cover materials to be received in the upcoming year. The result is a 11% decrease in the anticipated proposed revenues. The operating budget reflects the level of expenses necessary to sustain the operation at that projected waste disposal volume. Expense appropriations have been increased or decreased to reflect anticipated changes in salaries and operating costs. The Fringe Benefits category under Administration Personnel reflects an increase due to employee changes in medical plan coverage.

2. Complete a brief statement on the impact the proposed Annual Budget will have on Anticipated Revenues, especially service charges and on the general purpose/component unit financial statements. Explain significant increases or decreases, if any. An increase or decrease is considered significant if it is over +/-10% from the current year adopted budget. Anticipated Revenues are budgeted consistent with the projected disposal volume and the current tipping fees. There is a planned 10% reduction in revenues due to the decrease in the volume of cover material projected to be received.

3. Describe the state of the local/regional economy and how it may impact the proposed Annual Budget, including the planned Capital Budget/Program. Sufficient waste exists in the regional market to supply the facility with adequate waste to meet the budget projections.

4. Describe the reasons for utilizing Unrestricted Net Position in the proposed Annual Budget, i.e. rate stabilization, debt service reduction, to balance the budget, etc. If the Authority's budget anticipates a use of Unrestricted Net Position, this question must be answered. Unrestricted Net Assets will be used to finance completion of the capital improvements necessary to maintain compliance with environmental regulations and to upgrade/replace capital assets. Unrestricted Net Assets will not be used to finance operating expenses or debt service, however, they will be utilized for a county appropriation payment.

5. Identify any sources of funds transferred to the County/Municipality as a budget subsidy or a shared service and explain the reason for the transfer (i.e.: to balance the County/Municipality budget, etc.). Unrestricted Net Position/ fund balance will be utilized for a county appropriation transfer to support county capital project needs.

6. The proposed budget must not reflect an anticipated deficit from 2016 operations. If there exists an accumulated deficit from prior years' budgets (and funding is included in the proposed budget as a result of a prior deficit) explain the funding plan to eliminate said deficit (N.J.S.A. 40A:5A-12). If the Authority has a net deficit reported in its most recent audit, it must provide a deficit reduction plan in response to this question. N/A

7. Attach a schedule of the Authority's existing rate structure (connection fees, parking fees, service charges, etc.) and a schedule of the proposed rate structure for the upcoming fiscal year. Explain any proposed changes in the rate structure and attach the resolution approving the change in the rate structure, if applicable.
8. Attach a copy of the Authority's most recent Annual Operating Data submission to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) under the Authority's Continuing Disclosure Agreements for any debt issuances outstanding. Examples of Annual Operating Data may include sewer and water billings; parking rents and collections; number of customers; number of available parking spaces; etc. See Local Finance Notice 2014-9 for more information. N/A

AUTHORITY CONTACT INFORMATION

2016

Please complete the following information regarding this Authority. All information requested below must be completed.

Name of Authority:	Pollution Control Financing Authority of Warren County		
Federal ID Number:	22-2604318		
Address:	PO Box 587 500 Mt. Pisgah Avenue		
City, State, Zip:	Oxford, NJ 07863		
Phone: (ext.)	908-453-2174	Fax:	908-453-4241

Preparer's Name:	Daniel Olshefski, CFO		
Preparer's Address:	PO Box 587 500 Mt. Pisgah Avenue		
City, State, Zip:	Oxford, NJ 07863		
Phone: (ext.)	908-475-6540	Fax:	908-475-6554
E-mail:	dolshefski@co.warren.nj.us		

Chief Executive Officer:	James Williams, Director of Operations		
Phone: (ext.)	908-453-2174	Fax:	908-453-4241
E-mail:	jwilliams@pcfawc.com		

Chief Financial Officer:	Daniel Olshefski, CFO		
Phone: (ext.)	908-475-6540	Fax:	908-475-6554
E-mail:	dolshefski@co.warren.nj.us		

Name of Auditor:	William Schroeder		
Name of Firm:	Nisivoccia & Co.		
Address:	200 Valley Road, Suite 300		
City, State, Zip:	Mt. Arlington	NJ	07856-1320
Phone: (ext.)	973-328-1825	Fax:	973-328-0507
E-mail:	wschroeder@nisivoccia.com		

AUTHORITY INFORMATIONAL QUESTIONNAIRE

Pollution Control Financing Authority Of Warren County

FISCAL YEAR: FROM: January 1, 2016 **TO:** December 31, 2016

Answer all questions below completely and attach additional information as required.

- 1) Provide the number of individuals employed in calendar year 2014 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: 13
- 2) Provide the amount of total salaries and wages for calendar year 2014 as reported on the Authority's Form W-3, Transmittal of Wage and Tax Statements: \$615,186.07
- 3) Provide the number of regular voting members of the governing body: 5
- 4) Provide the number of alternate voting members of the governing body: 0
- 5) Did any person listed on Page N-4 have a family or business relationship with any other person listed on Page N-4 during the current fiscal year? NO *If "yes," attach a description of the relationship including the names of the individuals involved and their positions at the Authority.*
- 6) Did all individuals that were required to file a Financial Disclosure Statement for the current fiscal year because of their relationship with the Authority file the form as required? YES *If "no," provide a list of those individuals who failed to file a Financial Disclosure Statement and an explanation as to the reason for their failure to file.*
- 7) Does the Authority have any amounts receivable from current or former commissioners, officers, key employees or highest compensated employees? NO *If "yes," attach a list of those individuals, their position, the amount receivable, and a description of the amount due to the Authority.*
- 8) Was the Authority a party to a business transaction with one of the following parties:
 - a. A current or former commissioner, officer, key employee, or highest compensated employee? NO
 - b. A family member of a current or former commissioner, officer, key employee, or highest compensated employee? NO
 - c. An entity of which a current or former commissioner, officer, key employee, or highest compensated employee (or family member thereof) was an officer or direct or indirect owner? NO*If the answer to any of the above is "yes," attach a description of the transaction including the name of the commissioner, officer, key employee, or highest compensated employee (or family member thereof) of the Authority; the name of the entity and relationship to the individual or family member; the amount paid; and whether the transaction was subject to a competitive bid process.*
- 9) Did the Authority during the most recent fiscal year pay premiums, directly or indirectly, on a personal benefit contract? A personal benefit contract is generally any life insurance, annuity, or endowment contract that benefits, directly or indirectly, the transferor, a member of the transferor's family, or any other person designated by the transferor. NO *If "yes," attach a description of the arrangement, the premiums paid, and indicate the beneficiary of the contract.*
- 10) Explain the Authority's process for determining compensation for all persons listed on Page N-4. Include whether the Authority's process includes any of the following: 1) review and approval by the commissioners or a committee thereof; 2) study or survey of compensation data for comparable positions in similarly sized entities; 3) annual or periodic performance evaluation; 4) independent compensation consultant; and/or 5) written employment contract. The Board discusses and approves salaries and compensation during a scheduled public meeting. Compensation data of County of Warren employees is used as a guide in establishing compensation decisions.
- 11) Did the Authority pay for meals or catering during the current fiscal year? NO *If "yes," attach a detailed list of all meals and/or catering invoices for the current fiscal year and provide an explanation for each expenditure listed.*

- 12) Did the Authority pay for travel expenses for any employee or individual listed on Page N-4? NO
If "yes," attach a detailed list of all travel expenses for the current fiscal year and provide an explanation for each expenditure listed.
- 13) Did the Authority provide any of the following to or for a person listed on Page N-4 or any other employee of the Authority:
- a. First class or charter travel NO
 - b. Travel for companions NO
 - c. Tax indemnification and gross-up payments NO
 - d. Discretionary spending account NO
 - e. Housing allowance or residence for personal use NO
 - f. Payments for business use of personal residence NO
 - g. Vehicle/auto allowance or vehicle for personal use NO
 - h. Health or social club dues or initiation fees NO
 - i. Personal services (i.e.: maid, chauffeur, chef) NO
- If the answer to any of the above is "yes," attach a description of the transaction including the name and position of the individual and the amount expended.*
- 14) Did the Authority follow a written policy regarding payment or reimbursement for expenses incurred by employees and/or commissioners during the course of Authority business and does that policy require substantiation of expenses through receipts or invoices prior to reimbursement? YES *If "no," attach an explanation of the Authority's process for reimbursing employees and commissioners for expenses.*
- 15) Did the Authority make any payments to current or former commissioners or employees for severance or termination? NO *If "yes," attach explanation including amount paid.*
- 16) Did the Authority make any payments to current or former commissioners or employees that were contingent upon the performance of the Authority or that were considered discretionary bonuses? NO *If "yes," attach explanation including amount paid.*
- 17) Did the Authority comply with its Continuing Disclosure Agreements for all debt issuances outstanding by submitting its audited annual financial statements, annual operating data, and notice of material events to the Municipal Securities Rulemaking Board's Electronic Municipal Marketplace Access (EMMA) as required? N/A *If "no," attach a description of the Authority's plan to ensure compliance with its Continuing Disclosure Agreements in the future.*
- 18) Did the Authority receive any notices from the Department of Environmental Protection or any other entity regarding maintenance or repairs required to the Authority's systems to bring them into compliance with current regulations and standards that it has not yet taken action to remediate? NO *If "yes," attach explanation as to why the Authority has not yet undertaken the required maintenance or repairs and describe the Authority's plan to address the conditions identified.*
- 19) Did the Authority receive any notices of fines or assessments from the Department of Environmental Protection or any other entity due to noncompliance with current regulations (i.e.: sewer overflow, etc.)? NO *If "yes," attach a description of the event or condition that resulted in the fine or assessment and indicate the amount of the fine or assessment.*

**AUTHORITY SCHEDULE OF COMMISSIONERS, OFFICERS, KEY EMPLOYEES,
HIGHEST COMPENSATED EMPLOYEES AND INDEPENDENT CONTRACTORS**
Pollution Control Financing Authority
Of Warren County

FISCAL YEAR: FROM: January 1, 2015 TO: December 31, 2016

Complete the attached table for all persons required to be listed per #1-4 below.

- 1) List all of the Authority's current commissioners and officers and amount of compensation from the Authority and any other public entities as defined below. Enter zero if no compensation was paid.
- 2) List all of the Authority's key employees and highest compensated employees other than a commissioner or officer as defined below and amount of compensation from the Authority and any other public entities.
- 3) List all of the Authority's former officers, key employees and highest compensated employees who received more than \$100,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.
- 4) List all of the Authority's former commissioners who received more than \$10,000 in reportable compensation from the Authority and any other public entities during the most recent fiscal year completed.

Commissioner: A member of the governing body of the authority with voting rights. Include alternates for purposes of this schedule.

Officer: A person elected or appointed to manage the authority's daily operations at any time during the year, such as the chairperson, vice-chairperson, secretary, or treasurer. For the purposes of this schedule, treat the authority's top management official and top financial official as officers. A member of the governing body may be both a commissioner and an officer for the purposes of this schedule.

Key employee: An employee or independent contractor of the authority (other than a commissioner or officer) who meets both of the following criteria:

- a) The individual received reportable compensation from the authority and other public entities in excess of \$150,000 for the most recent fiscal year completed; and
- b) The individual has responsibilities or influence over the authority as a whole or has power to control or determine 10% or more of the authority's capital expenditures or operating budget.

Highest compensated employee: One of the five highest compensated employees or independent contractors of the authority other than current commissioners, officers, or key employees whose aggregate reportable compensation from the authority and other public entities is greater than \$100,000 for the most recent fiscal year completed.

Compensation: All forms of cash and non-cash payments or benefits provided in exchange for services, including salaries and wages, bonuses, severance payments, deferred payments, retirement benefits, fringe benefits, and other financial arrangements or transactions such as personal vehicles, meals, housing, personal and family education benefits, below-market loans, payment of personal or family travel, entertainment, and personal use of the Authority's property. Compensation includes payments and other benefits provided to both employees and independent contractors in exchange for services.

Reportable compensation: The aggregate compensation that is reported (or is required to be reported) on Form W-2, box 1 or 5, whichever amount is greater, and/or Form 1099-MISC, box 7, for the most recent calendar year ended 60 days before the start of the proposed budget year. For example, for fiscal years ending December 31, 2016, the calendar year 2014 W-2 and 1099 should be used (60 days prior to start of budget year is November 1, 2015, with 2014 being the most recent calendar year ended), and for fiscal years ending June 30, 2017, the calendar year 2015 W-2 and 1099 should be used (60 days prior to start of budget year is May 1, 2016, with 2015 being the most recent calendar year ended).

Other Public Entity: Any municipality, county, local authority, fire district, or other government unit, regardless of whether it is related in any way to the Authority either by function or by physical location.

Authority Schedule of Commissioners, Officers, Key Employees, Highest Compensated Employees and Independent Contractors (Continued)

For the Period January 1, 2016 to December 31, 2016
 Pollution Control Financing Authority of Warren County

Reportable Compensation from Authority (W-2/ 1099)

Name	Title	Position			Reportable Compensation from Authority (W-2/ 1099)			Estimated amount of other compensation from Authority (health benefits, pension, etc.)	Total Compensation from Authority	Average Hours per Week Dedicated to Other Public Entities Listed in Column O	Names of Other Public Entities where Individual is an Employee or Member of the Governing Body	Positions held at Other Public Entities Listed in Column O	Average Hours per Week Dedicated to Other Public Entities Listed in Column O	Reportable Compensation from Other Public Entities (W-2/ 1099)	Estimated amount of other compensation from Other Public Entities (health benefits, pension, payment in lieu of health benefits, etc.)	Total Compensation All Public Entities
		Commissioner	Officer	Key Employee	Highest Compensated Employee	Former	Base Salary/ Stipend									
1 Robert Davenport	Chairman	x														
2 Richard Mach	Vice Chairman	x														
3 James Cannon	Secretary	x														
4 Bud Allen	Treasurer	x														
5 Joseph Pryor	Member	x														
6																
7 James Williams	Director Operatio				x			3,400	111,856							150,597
8																
9																
10																
11																
12																
13																
14																
15																
Total:								\$ 111,856	\$ -	\$ 3,400	\$ 35,341	\$ 150,597	\$ -	\$ -	\$ 150,597	

Enter the total number of employees/ independent contractors who received more than \$100,000 in total reportable compensation for the most recent fiscal year completed:

Schedule of Health Benefits - Detailed Cost Analysis

Pollution Control Financing Authority of Warren County
 For the Period January 1, 2016 to December 31, 2016

	Annual Cost		# of Covered Members (Medical & Rx)	Total Cost Estimate Proposed Budget	# of Covered Members (Medical & Rx)	Annual Cost per Employee Current Year	Total Current Year Cost	\$ Increase (Decrease)	% Increase (Decrease)
	Employee Proposed Budget	Employee Proposed Budget							
Active Employees - Health Benefits - Annual Cost									
Single Coverage	3	\$ 11,424	3	\$ 34,272	3	\$ 11,016	\$ 33,048	\$ 1,224	3.7%
Parent & Child									#DIV/0!
Employee & Spouse (or Partner)	2	22,824	2	45,648	2	22,032	44,064	1,584	3.6%
Family	6	31,860	6	191,160	6	29,633	177,800	13,360	7.5%
Employee Cost Sharing Contribution (enter as negative -)				(33,200)			(31,804)	(1,396)	4.4%
Subtotal	11		11	237,880			223,109	14,771	6.6%
Commissioners - Health Benefits - Annual Cost									
Single Coverage	0								#DIV/0!
Parent & Child									#DIV/0!
Employee & Spouse (or Partner)									#DIV/0!
Family									#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)									#DIV/0!
Subtotal	0		0						#DIV/0!
Retirees - Health Benefits - Annual Cost									
Single Coverage									#DIV/0!
Parent & Child									#DIV/0!
Employee & Spouse (or Partner)									#DIV/0!
Family									#DIV/0!
Employee Cost Sharing Contribution (enter as negative -)									#DIV/0!
Subtotal	0		0						#DIV/0!
GRAND TOTAL	11		11	\$ 237,880			\$ 223,109	\$ 14,771	6.6%

Is medical coverage provided by the SHBP (Yes or No)?
 Is prescription drug coverage provided by the SHBP (Yes or No)?

Schedule of Accumulated Liability for Compensated Absences

Pollution Control Financing Authority of Warren County

For the Period January 1, 2016 to December 31, 2016

Complete the below table for the Authority's accrued liability for compensated absences.

Individuals Eligible for Benefit	Gross Days of Accumulated Compensated Absences at beginning of Current Year	Dollar Value of Accrued Compensated Absence Liability	Legal Basis for Benefit (check applicable items)		
			Approved Labor Agreement	Resolution	Individual Employment Agreement
Mason, Nancy L.	49	\$ 8,649		X	
Williams, James J.	33	14,000		X	
Pluto, Joan M.	66	14,000		X	
Howell, Michael A.	65	14,000		X	
Yohe, Michael T.	68	14,000		X	
Heater, Brian	64	14,000		X	
Clark, James S.	64	11,299		X	
Banghart, Jamie L.	8	1,051		X	
Dickson, Todd M.	48	7,685		X	
Jakub, Patrik	22	2,618		X	
Cicala, Vincent A.	7	782		X	
Total liability for accumulated compensated absences at beginning of current year		\$ 102,084			

Schedule of Shared Service Agreements

Pollution Control Financing Authority of Warren County

For the Period January 1, 2016 to December 31, 2016

Enter the shared service agreements that the Authority currently engages in and identify the amount that is received/paid for those services.

Name of Entity Providing Service	Name of Entity Receiving Service	Type of Shared Service Provided	Comments (Enter more specifics if needed)	Agreement Effective Date	Agreement End Date	Amount to be Received by/ Paid from Authority
N/A						

2016 AUTHORITY BUDGET

Financial Schedules Section

2016 Budget Summary

Pollution Control Financing Authority of Warren County
January 1, 2016 to December 31, 2016

For the Period

	Proposed Budget						Adopted Budget Total All Operations	All Operations All Operations	\$ Increase (Decrease) Proposed vs. Adopted	% Increase (Decrease) Proposed vs. Adopted
	Operation		Operation		Operation					
	#1	#3	#4	#5	#6	Total All Operations				
REVENUES										
Total Operating Revenues	\$ 6,530,625	\$ -	\$ -	\$ -	\$ -	\$ 7,395,000	\$ (864,375)	-11.7%		
Total Non-Operating Revenues	511,250	-	-	-	-	419,000	92,250	22.0%		
Total Anticipated Revenues	7,041,875	-	-	-	-	7,814,000	(772,125)	-9.9%		
APPROPRIATIONS										
Total Administration	775,252	-	-	-	-	726,395	48,857	6.7%		
Total Cost of Providing Services	6,266,623	-	-	-	-	7,087,605	(820,982)	-11.6%		
Total Principal Payments on Debt Service in Lieu of Depreciation	-	-	-	-	-	-	-	#DIV/0!		
Total Operating Appropriations	7,041,875	-	-	-	-	7,814,000	(772,125)	-9.9%		
Total Interest Payments on Debt	-	-	-	-	-	-	-	#DIV/0!		
Total Other Non-Operating Appropriations	155,000	-	-	-	-	155,000	155,000	#DIV/0!		
Total Non-Operating Appropriations	155,000	-	-	-	-	155,000	155,000	#DIV/0!		
Accumulated Deficit	-	-	-	-	-	-	-	#DIV/0!		
Total Appropriations and Accumulated Deficit	7,196,875	-	-	-	-	7,814,000	(617,125)	-7.9%		
Less: Total Unrestricted Net Position Utilized	155,000	-	-	-	-	155,000	155,000	#DIV/0!		
Net Total Appropriations	7,041,875	-	-	-	-	7,814,000	(772,125)	-9.9%		
ANTICIPATED SURPLUS (DEFICIT)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	#DIV/0!		

2016 Revenue Schedule

Pollution Control Financing Authority of Warren County
For the Period January 1, 2016 to December 31, 2016

	Proposed Budget						Total All Operations	Adopted Budget				
	Operation #1	Operation #1	Operation #3	Operation #4	Operation #5	Operation #6		Total All Operations	All Operations	All Operations		
								Proposed vs. Adopted				
							\$ Increase (Decrease)			% Increase (Decrease)		
OPERATING REVENUES												
<i>Service Charges</i>												
Residential	\$6,530,625						\$6,530,625	\$ 7,395,000	\$ (864,375)		-11.7%	
Business/Commercial							-	-	-		#DIV/0!	
Industrial							-	-	-		#DIV/0!	
Intergovernmental							-	-	-		#DIV/0!	
Other							-	-	-		#DIV/0!	
Total Service Charges	6,530,625	-	-	-	-	-	6,530,625	7,395,000	(864,375)		-11.7%	
<i>Connection Fees</i>												
Residential							-	-	-		#DIV/0!	
Business/Commercial							-	-	-		#DIV/0!	
Industrial							-	-	-		#DIV/0!	
Intergovernmental							-	-	-		#DIV/0!	
Other							-	-	-		#DIV/0!	
Total Connection Fees	-	-	-	-	-	-	-	-	-		#DIV/0!	
<i>Parking Fees</i>												
Meters							-	-	-		#DIV/0!	
Permits							-	-	-		#DIV/0!	
Fines/Penalties							-	-	-		#DIV/0!	
Other							-	-	-		#DIV/0!	
Total Parking Fees	-	-	-	-	-	-	-	-	-		#DIV/0!	
<i>Other Operating Revenues (List)</i>												
Other Revenue 1							-	-	-		#DIV/0!	
Other Revenue 2							-	-	-		#DIV/0!	
Other Revenue 3							-	-	-		#DIV/0!	
Other Revenue 4							-	-	-		#DIV/0!	
Total Other Revenue	-	-	-	-	-	-	-	-	-		#DIV/0!	
Total Operating Revenues	6,530,625	-	-	-	-	-	6,530,625	7,395,000	(864,375)		-11.7%	
NON-OPERATING REVENUES												
<i>Grants & Entitlements (List)</i>												
Recycling Enhancement Grant	100,000						100,000	100,000	-		0.0%	
Grant #2							-	-	-		#DIV/0!	
Grant #3							-	-	-		#DIV/0!	
Grant #4							-	-	-		#DIV/0!	
Total Grants & Entitlements	100,000	-	-	-	-	-	100,000	100,000	-		0.0%	
<i>Local Subsidies & Donations (List)</i>												
Local Subsidy #1							-	-	-		#DIV/0!	
Local Subsidy #2							-	-	-		#DIV/0!	
Local Subsidy #3							-	-	-		#DIV/0!	
Local Subsidy #4							-	-	-		#DIV/0!	
Total Local Subsidies & Donations	-	-	-	-	-	-	-	-	-		#DIV/0!	
<i>Interest on Investments & Deposits</i>												
Investments	19,750						19,750	12,500	7,250		58.0%	
Security Deposits							-	-	-		#DIV/0!	
Penalties							-	-	-		#DIV/0!	
Other Investments							-	-	-		#DIV/0!	
Total Interest	19,750	-	-	-	-	-	19,750	12,500	7,250		58.0%	
<i>Other Non-Operating Revenues (List)</i>												
Landfill Energy Plant	92,500						92,500	7,500	85,000		1133.3%	
Coyanta	275,000						275,000	275,000	-		0.0%	
Sale Of Recyclables	24,000						24,000	24,000	-		0.0%	
Other Non-Operating #4							-	-	-		#DIV/0!	
Total Non-Operating Revenues	391,500	-	-	-	-	-	391,500	306,500	85,000		27.7%	
Total Non-Operating Revenues	511,250	-	-	-	-	-	511,250	419,000	92,250		22.0%	
TOTAL ANTICIPATED REVENUES	\$7,041,875	\$ -	\$ -	\$ -	\$ -	\$ -	\$7,041,875	\$ 7,814,000	\$ (772,125)		-9.9%	

2015 Adopted Revenue Schedule

Pollution Control Financing Authority of Warren County

	<i>Adopted Budget</i>						Total All Operations
	Operation #1	Operation #1	Operation #3	Operation #4	Operation #5	Operation #6	
OPERATING REVENUES							
<i>Service Charges</i>							
Residential	\$7,395,000						\$7,395,000
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Service Charges	7,395,000	-	-	-	-	-	7,395,000
<i>Connection Fees</i>							
Residential							-
Business/Commercial							-
Industrial							-
Intergovernmental							-
Other							-
Total Connection Fees	-	-	-	-	-	-	-
<i>Parking Fees</i>							
Meters							-
Permits							-
Fines/Penalties							-
Other							-
Total Parking Fees	-	-	-	-	-	-	-
<i>Other Operating Revenues (List)</i>							
Other Revenue 1							-
Other Revenue 2							-
Other Revenue 3							-
Other Revenue 4							-
Total Other Revenue	-	-	-	-	-	-	-
Total Operating Revenues	7,395,000	-	-	-	-	-	7,395,000
NON-OPERATING REVENUES							
<i>Grants & Entitlements (List)</i>							
Recycling Enhancement Grant	100,000						100,000
Grant #2							-
Grant #3							-
Grant #4							-
Total Grants & Entitlements	100,000	-	-	-	-	-	100,000
<i>Local Subsidies & Donations (List)</i>							
Local Subsidy #1							-
Local Subsidy #2							-
Local Subsidy #3							-
Local Subsidy #4							-
Total Local Subsidies & Donations	-	-	-	-	-	-	-
<i>Interest on Investments & Deposits</i>							
Investments	12,500						12,500
Security Deposits							-
Penalties							-
Other Investments							-
Total Interest	12,500	-	-	-	-	-	12,500
<i>Other Non-Operating Revenues (List)</i>							
Miscellaneous	7,500						7,500
Covanta	275,000						275,000
Sale Of Recyclables	24,000						24,000
Other Non-Operating #4							-
Other Non-Operating Revenues	306,500	-	-	-	-	-	306,500
Total Non-Operating Revenues	419,000	-	-	-	-	-	419,000
TOTAL ANTICIPATED REVENUES	\$7,814,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$7,814,000

2016 Appropriations Schedule

Pollution Control Financing Authority of Warren County
For the Period January 1, 2016 to December 31, 2016

	Proposed Budget						Adapted Budget			\$ Increase (Decrease)	% Increase (Decrease)		
							Proposed vs. Adapted			Proposed vs. Adapted			
	Operation #1	#1	Operation #3	#4	Operation #5	Operation #6	Total All Operations	Total All Operations	All Operations	All Operations			
OPERATING APPROPRIATIONS													
<i>Administration - Personnel</i>													
Salary & Wages	\$	217,350					\$	217,350	\$	208,150	\$	9,200	4.4%
Fringe Benefits		126,952						126,952		92,295		34,657	37.6%
Total Administration - Personnel		344,302						344,302		300,445		43,857	14.6%
<i>Administration - Other (List)</i>													
Other Admin Expense #1		430,950						430,950		425,950		5,000	1.2%
Other Admin Expense #2													#DIV/0!
Other Admin Expense #3													#DIV/0!
Other Admin Expense #4													#DIV/0!
Miscellaneous Administration*													#DIV/0!
Total Administration - Other		430,950						430,950		425,950		5,000	1.2%
Total Administration		775,252						775,252		726,395		48,857	6.7%
<i>Cost of Providing Services - Personnel</i>													
Salary & Wages		497,085						497,085		494,550		2,535	0.5%
Fringe Benefits		325,677						325,677		305,042		20,635	6.8%
Total COPS - Personnel		822,762						822,762		799,592		23,170	2.9%
<i>Cost of Providing Services - Other (List)</i>													
Other COPS Expense #1		5,443,861						5,443,861		6,288,013		(844,152)	-13.4%
Other COPS Expense #2													#DIV/0!
Other COPS Expense #3													#DIV/0!
Other COPS Expense #4													#DIV/0!
Miscellaneous COPS*													#DIV/0!
Total COPS - Other		5,443,861						5,443,861		6,288,013		(844,152)	-13.4%
Total Cost of Providing Services		6,266,623						6,266,623		7,087,605		(820,982)	-11.6%
<i>Total Principal Payments on Debt Service in Lieu of Depreciation</i>													
													#DIV/0!
Total Operating Appropriations		7,041,875						7,041,875		7,814,000		(772,125)	-9.9%
NON-OPERATING APPROPRIATIONS													
Total Interest Payments on Debt													#DIV/0!
Operations & Maintenance Reserve													#DIV/0!
Renewal & Replacement Reserve													#DIV/0!
Municipality/County Appropriation		155,000						155,000				155,000	#DIV/0!
Other Reserves													#DIV/0!
Total Non-Operating Appropriations		155,000						155,000				155,000	#DIV/0!
TOTAL APPROPRIATIONS		7,196,875						7,196,875		7,814,000		(617,125)	-7.9%
ACCUMULATED DEFICIT													
													#DIV/0!
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT		7,196,875						7,196,875		7,814,000		(617,125)	-7.9%
UNRESTRICTED NET POSITION UTILIZED													
Municipality/County Appropriation		155,000						155,000				155,000	#DIV/0!
Other													#DIV/0!
Total Unrestricted Net Position Utilized		155,000						155,000				155,000	#DIV/0!
TOTAL NET APPROPRIATIONS	\$	7,041,875	\$	-	\$	-	\$	-	\$	-	\$	-	352,093.75

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 352,093.75 \$ - \$ - \$ - \$ - \$ - \$ - \$ 352,093.75

2015 Adopted Appropriations Schedule

Pollution Control Financing Authority of Warren County

Adopted Budget

	Operation #1	Operation #1	Operation #3	Operation #4	Operation #5	Operation #6	Total All Operations
OPERATING APPROPRIATIONS							
<i>Administration - Personnel</i>							
Salary & Wages	\$ 208,150						\$ 208,150
Fringe Benefits	92,295						92,295
Total Administration - Personnel	300,445	-	-	-	-	-	300,445
<i>Administration - Other (List)</i>							
Other Admin Expense #1	425,950						425,950
Other Admin Expense #2							-
Other Admin Expense #3							-
Other Admin Expense #4							-
Miscellaneous Administration*							-
Total Administration - Other	425,950	-	-	-	-	-	425,950
Total Administration	726,395	-	-	-	-	-	726,395
<i>Cost of Providing Services - Personnel</i>							
Salary & Wages	494,550						494,550
Fringe Benefits	305,042						305,042
Total COPS - Personnel	799,592	-	-	-	-	-	799,592
<i>Cost of Providing Services - Other (List)</i>							
Other COPS Expense #1	6,288,013						6,288,013
Other COPS Expense #2							-
Other COPS Expense #3							-
Other COPS Expense #4							-
Miscellaneous COPS*							-
Total COPS - Other	6,288,013	-	-	-	-	-	6,288,013
Total Cost of Providing Services	7,087,605	-	-	-	-	-	7,087,605
Total Principal Payments on Debt Service in Lieu of Depreciation							-
Total Operating Appropriations	7,814,000	-	-	-	-	-	7,814,000
NON-OPERATING APPROPRIATIONS							
Total Interest Payments on Debt							-
Operations & Maintenance Reserve							-
Renewal & Replacement Reserve							-
Municipality/County Appropriation							-
Other Reserves							-
Total Non-Operating Appropriations							-
TOTAL APPROPRIATIONS	7,814,000	-	-	-	-	-	7,814,000
ACCUMULATED DEFICIT							
TOTAL APPROPRIATIONS & ACCUMULATED DEFICIT	7,814,000	-	-	-	-	-	7,814,000
UNRESTRICTED NET POSITION UTILIZED							
Municipality/County Appropriation							-
Other							-
Total Unrestricted Net Position Utilized							-
TOTAL NET APPROPRIATIONS	\$ 7,814,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 7,814,000

* Miscellaneous line items may not exceed 5% of total operating appropriations shown below. If amount in miscellaneous is greater than the amount shown below, then the line item must be itemized above.

5% of Total Operating Appropriations \$ 390,700.00 \$ - \$ - \$ - \$ - \$ - \$ 390,700.00

5 Year Debt Service Schedule - Principal

Pollution Control Financing Authority of Warren County

	Fiscal Year Beginning in						Total Principal	
	2016	2017	2018	2019	2020	2021	Thereafter	Outstanding
Current Year (2015)	\$							
Operation #1								
Debt Issuance #1	\$							\$
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
Operation #2								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
Operation #3								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
Operation #4								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
Operation #5								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
Operation #6								
Debt Issuance #1								
Debt Issuance #2								
Debt Issuance #3								
Debt Issuance #4								
Total Principal								
TOTAL PRINCIPAL ALL OPERATIONS	\$	\$	\$	\$	\$	\$	\$	\$

Indicate the Authority's most recent bond rating and the year of the rating by ratings service.

Bond Rating	
Year of Last Rating	
Moody's	
Fitch	
Standard & Poors	

5 Year Debt Service Schedule - Interest

Pollution Control Financing Authority of Warren County

Fiscal Year Beginning in

	Current Year (2015)	2016	2017	2018	2019	2020	2021	Thereafter	Total Interest Payments Outstanding
Operation #1									
Debt Issuance #1									\$
Debt Issuance #2									
Debt Issuance #3									
Debt Issuance #4									
Total Interest Payments									
Operation #1									
Debt Issuance #1									
Debt Issuance #2									
Debt Issuance #3									
Debt Issuance #4									
Total Interest Payments									
Operation #3									
Debt Issuance #1									
Debt Issuance #2									
Debt Issuance #3									
Debt Issuance #4									
Total Interest Payments									
Operation #4									
Debt Issuance #1									
Debt Issuance #2									
Debt Issuance #3									
Debt Issuance #4									
Total Interest Payments									
Operation #5									
Debt Issuance #1									
Debt Issuance #2									
Debt Issuance #3									
Debt Issuance #4									
Total Interest Payments									
Operation #6									
Debt Issuance #1									
Debt Issuance #2									
Debt Issuance #3									
Debt Issuance #4									
Total Interest Payments									
TOTAL INTEREST ALL OPERATIONS	\$	\$	\$	\$	\$	\$	\$	\$	\$

2016 Net Position Reconciliation

Pollution Control Financing Authority of Warren County

For the Period January 1, 2016 to December 31, 2016

Proposed Budget

	Operation #1	Operation #3	Operation #4	Operation #5	Operation #6	Total All Operations
TOTAL NET POSITION BEGINNING OF CURRENT YEAR (1)						
Less: Invested in Capital Assets, Net of Related Debt (1)	\$ 27,697,958					\$ 27,697,958
Less: Restricted for Debt Service Reserve (1)	11,730,427					11,730,427
Less: Other Restricted Net Position (1)						
Total Unrestricted Net Position (1)	15,967,531					15,967,531
Less: Designated for Non-Operating Improvements & Repairs						
Less: Designated for Rate Stabilization						
Less: Other Designated by Resolution						
Plus: Accrued Unfunded Pension Liability (1)						
Plus: Accrued Unfunded Other Post-Employment Benefit Liability (1)						
Plus: Estimated Income (Loss) on Current Year Operations (2)	875,000					875,000
Plus: Other Adjustments (attach schedule)						
UNRESTRICTED NET POSITION AVAILABLE FOR USE IN PROPOSED BUDGET	16,842,531					16,842,531
Unrestricted Net Position Utilized to Balance Proposed Budget						
Unrestricted Net Position Utilized in Proposed Capital Budget	260,000					370,000
Appropriation to Municipality/County (3)	155,000					155,000
Total Unrestricted Net Position Utilized in Proposed Budget	415,000					525,000
PROJECTED UNRESTRICTED UNDESIGNATED NET POSITION AT END OF YEAR (4)	\$ 16,427,531	\$ (110,000)	\$ -	\$ -	\$ -	\$ 16,317,531

(1) Total of all operations for this line item must agree to audited financial statements.

(2) Include budgeted and unbudgeted use of unrestricted net position in the current year's operations.

(3) Amount may not exceed 5% of total operating appropriations. See calculation below.

Maximum Allowable Appropriation to Municipality/County

\$ 352,094 \$ - \$ - \$ - \$ - \$ - \$ 352,094

(4) If Authority is projecting a deficit for any operation at the end of the budget period, the Authority must attach a statement explaining its plan to reduce the deficit, including the timeline for elimination of the deficit, if not already detailed in the budget narrative section.

2016
Pollution Control
Financing Authority
of Warren County

AUTHORITY
CAPITAL
BUDGET/
PROGRAM

2016 CERTIFICATION OF AUTHORITY CAPITAL BUDGET/PROGRAM

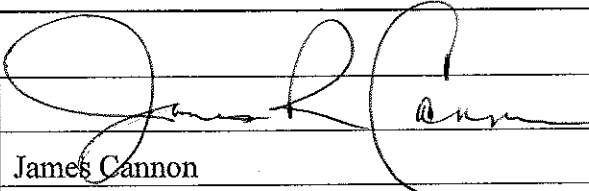
POLLUTION CONTROL FINANCING AUTHORITY OF WARREN COUNTY

FISCAL YEAR: FROM: January 1, 2016 TO: December 31, 2016

It is hereby certified that the Authority Capital Budget/Program annexed hereto is a true copy of the Capital Budget/Program approved, pursuant to N.J.A.C. 5:31-2.2, along with the Annual Budget, by the governing body of the **Pollution Control Financing Authority of Warren County**, on the **26th** day of **October, 2015**.

OR

It is hereby certified that the governing body of the _____ Authority have elected **NOT** to adopt a Capital Budget /Program for the aforesaid fiscal year, pursuant to N.J.A.C. 5:31-2.2 for the following reason(s): _____

Officer's Signature:			
Name:	James Cannon		
Title:	Secretary		
Address:	Post Office Box 587 Oxford, NJ 07863		
Phone Number:	908-453-2174	Fax Number:	908-453-4241
E-mail address			

2016 CAPITAL BUDGET/PROGRAM MESSAGE

POLLUTION CONTROL FINANCING AUTHORITY OF WARREN COUNTY

FISCAL YEAR: FROM: January 1, 2016 TO: December 31, 2016

1. Has each municipality or county affected by the actions of the authority participated in the development of the capital plan and reviewed or approved the plans or projects included within the Capital Budget/Program? The Capital Budget/Program is consistent with the Warren County District Landfill Closure/Post closure plan. Committee.

2. Has each capital project/project financing been developed from a specific capital improvement plan or report; does it include full lifecycle costs; and is it consistent with appropriate elements of Master Plans or other plans in the jurisdiction(s) served by the authority? The Landfill Master Plan is updated annually to reflect a multiyear projection of capital needs that the Authority will need to meet. The 2016 Capital Budget incorporates those requirements

3. Has a long-term (10-20 years) infrastructure needs assessment or other capital plan with a horizon beyond six years been prepared?
Long term infrastructure needs are addressed within the Warren County Landfill Master Plan.

4. Describe the projected impact of the proposed capital projects, including impact on the schedule of rates, fees, and service charges and the impact on current and future year's schedules. The Capital Budget/ Program will have a minimal impact on the current proposed rate structure.

5. Please indicate which capital projects/project financings are being undertaken in the Metropolitan or Suburban Planning Areas as defined in the State Development and Redevelopment Plan. There are no capital projects/project financings to be undertaken in the Metropolitan or Suburban Planning Areas.

6. Please indicate which capital projects/project financings are being undertaken within the boundary of a State Planning Commission-designated Center and/or Endorsed Plan and if the project was included in the Plan Implementation Agenda for that Center/Endorsed Plan. N/A

Add additional sheets if necessary.

2016 Proposed Capital Budget

Pollution Control Financing Authority of Warren County

For the Period January 1, 2016 to December 31, 2016

	Estimated Total Cost	Funding Sources				
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants	Other Sources
Operation #1						
4-40 Cubic Yard Roll-Off Containers	\$ 20,000	\$ 20,000				
Undercarralage for Bulldozer D-6	70,000	70,000				
Undercarralage for Bulldozer D-7	70,000	70,000				
H2S System Media Change/Contractor	100,000	100,000				
Total	260,000	260,000	-	-	-	-
Operation #1						
4 Wheel Drive Pick Up with Plow	50,000	50,000				
Admin Bldg Sidewalks/Driveway	20,000	20,000				
Engineering Treatment Plant RO System Loader	40,000	40,000				
Total	110,000	110,000	-	-	-	-
Operation #3						
Truck	-					
Cell Construction	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #4						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #5						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
Operation #6						
Project A Description	-					
Project B Description	-					
Project C Description	-					
Project D Description	-					
Total	-	-	-	-	-	-
TOTAL PROPOSED CAPITAL BUDGET	\$ 370,000	\$ 370,000	\$ -	\$ -	\$ -	\$ -

Enter brief description of up to four projects for each operation above. For operations with more than four budgeted projects, please attach additional schedules. Input total amount of all projects for the operation on single line and enter "See Attached Schedule" instead of project description.

5 Year Capital Improvement Plan

Pollution Control Financing Authority of Warren County

For the Period January 1, 2016 to December 31, 2016

	Estimated Total Cost	Fiscal Year Beginning in					
		Current Year Proposed Budget	2017	2018	2019	2020	2021
Operation #1							
4-40 Cubic Yard Roll-Off Contair	\$ 20,000	\$ 20,000					
Undercarraige for Bulldozer D-	70,000	70,000					
Undercarraige for Bulldozer D-	70,000	70,000					
H2S System Media Change/Con	100,000	100,000					
Total	260,000	260,000	-	-	-	-	-
Operation #1							
4 Wheel Drive Pick Up with Plov	50,000	50,000					
Admin Bldg Sidewalks/Driveway	20,000	20,000					
Engineering Treatment Plant RC	40,000	40,000					
Loader	150,000	-	150,000				
Total	260,000	110,000	150,000	-	-	-	-
Operation #3							
Truck	200,000	-	200,000				
Cell Construction	5,000,000	-	5,000,000				
Project C Description	-	-					
Project D Description	-	-					
Total	5,200,000	-	5,200,000	-	-	-	-
Operation #4							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
Operation #5							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
Operation #6							
Project A Description	-	-					
Project B Description	-	-					
Project C Description	-	-					
Project D Description	-	-					
Total	-	-	-	-	-	-	-
TOTAL	\$ 5,720,000	\$ 370,000	\$ 150,000	\$ 5,200,000	\$ -	\$ -	\$ -

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.

5 Year Capital Improvement Plan Funding Sources

Pollution Control Financing Authority of Warren County

For the Period January 1, 2016 to December 31, 2016

	Estimated Total Cost	Funding Sources			
		Unrestricted Net Position Utilized	Renewal & Replacement Reserve	Debt Authorization	Capital Grants Other Sources
Operation #1					
4-40 Cubic Yard Roll-Off Contain	\$ 20,000	\$ 20,000			
Undercarriage for Bulldozer D-	70,000	70,000			
Undercarriage for Bulldozer D-	70,000	70,000			
H2S System Media Change/Con	100,000	100,000			
Total	260,000	260,000	-	-	-
Operation #1					
4 Wheel Drive Pick Up with Plov	50,000	50,000			
Admin Bldg Sidewalks/Drivewa	20,000	20,000			
Engineering Treatment Plant RC	40,000	40,000			
Loader	150,000	150,000			
Total	260,000	260,000	-	-	-
Operation #3					
Truck	200,000	200,000			
Cell Construction	5,000,000	5,000,000			
Project C Description	-				
Project D Description	-				
Total	5,200,000	5,200,000	-	-	-
Operation #4					
Project A Description	-				
Project B Description	-				
Project C Description	-				
Project D Description	-				
Total	-	-	-	-	-
Operation #5					
Project A Description	-				
Project B Description	-				
Project C Description	-				
Project D Description	-				
Total	-	-	-	-	-
Operation #6					
Project A Description	-				
Project B Description	-				
Project C Description	-				
Project D Description	-				
Total	-	-	-	-	-
TOTAL	\$ 5,720,000	\$ 5,720,000	\$ -	\$ -	\$ -
Total 5 Year Plan per CB-4	\$ 5,720,000				
Balance check					

- If amount is other than zero, verify that projects listed above match projects listed on CB-4.

Project descriptions entered on Page CB-3 will carry forward to Pages CB-4 and CB-5. No need to re-enter project descriptions above.